

# **EXHIBIT 1**

By providing this notice, DG3 does not waive any rights or defenses regarding the applicability of Maine law, the applicability of the Maine data event notification statute, or personal jurisdiction.

### **Nature of the Data Event**

DG3 is a vendor that specializes in print, mailing, and communication services for its business partners. On or about March 19, 2024, DG3 discovered suspicious activity on certain network systems and determined they were experiencing a cyber incident. DG3 immediately launched an investigation and worked with forensic specialists to determine the full nature and scope of the event. Based on the investigation, DG3 determined that certain personal information was accessed and/or copied from the systems between February 5<sup>th</sup> and 22<sup>nd</sup>, 2024. On or around April 26, 2024, DG3 completed its initial assessment of the data impacted, and began working with its business partners to notify impacted individuals as soon as possible.

The personal information that could have been subject to unauthorized access includes name, Social Security number, and financial account information.

### **Notice to Maine Residents**

On or about May 31, 2024, DG3 began providing written notice of this incident to sixty (60) Maine residents. Written notice is being provided in substantially the same form as the letter attached here as *Exhibit A*.

### **Other Steps Taken and To Be Taken**

Upon discovering the event, DG3 moved quickly to investigate and respond to the incident, assess the security of DG3 systems, and identify potentially affected individuals. Further, DG3 notified federal law enforcement regarding the event. DG3 is also working to implement additional safeguards and training to its employees. DG3 is providing access to credit monitoring services for 2 years, through Experian, to individuals whose personal information was potentially affected by this incident, at no cost to these individuals.

Additionally, DG3 is providing impacted individuals with guidance on how to better protect against identity theft and fraud. DG3 is providing individuals with information on how to place a fraud alert and security freeze on one's credit file, the contact details for the national consumer reporting agencies, information on how to obtain a free credit report, a reminder to remain vigilant for incidents of fraud and identity theft by reviewing account statements and monitoring free credit reports, and encouragement to contact the Federal Trade Commission, their state Attorney General, and law enforcement to report attempted or actual identity theft and fraud.

DG3 is providing written notice of this incident to relevant state and federal regulators, as necessary, and to the three major credit reporting agencies, Equifax, Experian, and TransUnion.

# **EXHIBIT A**

{Letterhead}

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«Company\_Name\_Reg\_1»  
«Extra1\_Data\_Elements\_Impacted\_Reg\_2»«Original\_First\_Name»«Original\_Middle\_Name»«Original\_Last\_Name»«Extra4\_Reg\_5»  
«Extra2\_Primary\_Letter\_Group\_Reg3»  
«Extra3\_Reg\_4»  
«Original\_Address\_1»  
«Original\_Address\_2»  
«Original\_City»«Original\_State»«Original\_Zip\_Code»

May [●], 2024

Dear Valued Shareholder,

### **Re: Notice of Data Breach - Third-Party Cybersecurity Incident**

[Company Name] (“[Company]”), as transfer agent for various [Company] mutual funds (“[Company] Fund(s)”), takes the protection of [Company] Fund investors’ personal information very seriously. Regrettably, we are writing to advise you of a cybersecurity incident involving a third-party vendor, Diversified Global Graphics Group (“DG3”), which has potential implications for some of your personal information. Importantly, [Company]’s systems were not affected by this incident.

The purpose of this letter is to alert you to the incident, advise you of the steps we’ve taken to further protect your [Company] Fund account(s), and provide information on additional steps you can consider taking to further protect your information.

### **What Happened?**

[Company] was recently notified that DG3, a third-party vendor we use to coordinate the printing and mailing of various [Company] Fund communications, experienced a cyber incident. According to DG3, an unauthorized outside party accessed and/or copied certain files on DG3’s servers between February 5 and February 22, 2024. On May 2, 2024, DG3 provided us with copies of the [Company] files involved in this incident. Upon learning of this incident, we immediately activated our incident response protocols, opened our own investigation, and began gathering information from DG3. We have conducted a thorough review of the files involved to determine the nature and extent of any personal information contained in the files.

### **What Information Was Involved?**

We have determined that the files involved in this incident contained some of your personal information, including your name, address, Social Security number, as well as the [Company] Fund and account number(s) associated with your account(s) that closed in 2018. Financial information such as account transactions and balances were not involved.

### **What We Are Doing.**

Although we have no evidence that investors’ information has been misused for purposes of fraud arising out of the incident, and the particular accounts involved are closed, out of an abundance

of caution, we have implemented additional measures to help protect your existing [Company] Fund account(s), including enhanced diligence protocols.

As an additional precaution, we have arranged for access to a complimentary two-year membership of Experian IdentityWorksSM. This product helps detect possible misuse of your personal information and provides you with identity protection support focused on immediate identification and resolution of identity theft. IdentityWorksSM is completely free to you and enrolling in this program will not hurt your credit score.

DG3 has also reported the incident to law enforcement.

### **What You Can Do.**

Although we have implemented additional measures to help protect [Company] Fund accounts, it is always advisable to remain vigilant to the possibility of unauthorized activity in your account(s). If you see anything that looks suspicious or suspect fraudulent activity in your [Company] Fund account(s), call your financial professional or [Company] immediately using the contact information below. We encourage you to review the additional information on IdentityWorksSM, including instructions on how to activate your complimentary two-year membership to help protect your identity, as well as information on additional steps you can take in response to this incident, on the pages that follow this letter.

### **For More Information.**

Protecting the privacy of your personal information is of the utmost importance to us, and we sincerely regret that this incident occurred. Should you have any questions, you can contact us at [phone number], Monday through Friday, 5:30 a.m. to 5:00 p.m. Pacific Time. When calling, please refer to case number [number] and one of our representatives will be happy to assist you.

Sincerely,

[Name]  
[Title]

### **Attachment A: Experian Enrollment Information**

To help protect your identity, we are offering a complimentary two-year membership of Experian's® IdentityWorksSM. This product provides you with superior identity detection and resolution of identity theft. To activate your membership and start monitoring your personal information please follow the steps below:

- Ensure that you enroll by: [DATE] (Your code will not work after this date.)
- Visit the Experian IdentityWorks website to enroll: [URL]
- Provide your activation code: [XXXXX]

If you have questions about the product, need assistance with identity restoration or would like an alternative to enrolling in Experian IdentityWorks online, please contact Experian's customer care team at [EXPERIAN CONTACT NUMBER] by [ENROLLMENT END DATE]. Be prepared to provide engagement number [XXXX] as proof of eligibility for the identity restoration services by Experian.

### **ADDITIONAL DETAILS REGARDING YOUR 24-MONTH EXPERIAN IDENTITYWORKS MEMBERSHIP:**

A credit card is not required for enrollment in Experian IdentityWorks.

You can contact Experian immediately regarding any fraud issues, and have access to the following features once you enroll in Experian IdentityWorks:

- **Experian credit report at signup:** See what information is associated with your credit file. Daily credit reports are available for online members only.\*
- **Credit Monitoring:** Actively monitors Experian files for indicators of fraud.
- **Identity Restoration:** Identity Restoration specialists are immediately available to help you address credit and non-credit related fraud.
- **Experian IdentityWorks ExtendCARE™:** You receive the same high-level of Identity Restoration support even after your Experian IdentityWorks membership has expired.
- **Up to \$1 Million Identity Theft Insurance\*\*:** Provides coverage for certain costs and unauthorized electronic fund transfers.

If you believe there was fraudulent use of your information and would like to discuss how you may be able to resolve those issues, please reach out to an Experian agent at (855) 414-6050. If, after discussing your situation with an agent, it is determined that Identity Restoration support is needed, then an Experian Identity Restoration agent is available to work with you to investigate and resolve each incident of fraud that occurred (including, as appropriate, helping you with contacting credit grantors to dispute charges and close accounts; assisting you in placing a freeze on your credit file with the three major credit bureaus; and assisting you with contacting government agencies to help restore your identity to its proper condition).

Please note that this Identity Restoration support is available to you for two years from the date of this letter and does not require any action on your part at this time. The Terms and Conditions for this offer are located at [www.ExperianIDWorks.com/restoration](http://www.ExperianIDWorks.com/restoration). You will also find self-help tips and information about identity protection at this site.

\* Offline members will be eligible to call for additional reports quarterly after enrolling.

\*\* The Identity Theft Insurance is underwritten and administered by American Bankers Insurance Company of Florida, an Assurant company. Please refer to the actual policies for terms, conditions, and exclusions of coverage. Coverage may not be available in all jurisdictions.

### ADDITIONAL STEPS YOU CAN TAKE

Contact information for the three nationwide credit reporting companies is as follows:

| Equifax  | Experian   | TransUnion  |
|--|--|---|
| Phone: 1-800-685-1111<br>P.O. Box 740256<br>Atlanta, Georgia 30348<br><a href="http://www.equifax.com">www.equifax.com</a> | Phone: 1-888-397-3742<br>P.O. Box 9554<br>Allen, Texas 75013<br><a href="http://www.experian.com">www.experian.com</a> | Phone: 1-888-909-8872<br>P.O. Box 2000<br>Chester, PA 19016<br><a href="http://www.transunion.com">www.transunion.com</a> |

**Free Credit Report.** We remind you to be vigilant for incidents of fraud or identity theft by reviewing your account statements and free credit reports for any unauthorized activity. If you identify any unauthorized activity on your financial account statements, you should immediately report any such activity to your financial institution. You may obtain a copy of your credit report, free of charge, once every 12 months from each of the three nationwide credit reporting companies. To order your annual free credit report, please visit [www.annualcreditreport.com](http://www.annualcreditreport.com) or call toll free at 1-877-322-8228. You can also order your annual free credit report by mailing a completed Annual Credit Report Request Form (available from the U.S. Federal Trade Commission's ("FTC") website at [www.consumer.ftc.gov](http://www.consumer.ftc.gov)) to: Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348-5281.

**For Colorado, Georgia, Maine, Maryland, Massachusetts, New Jersey, Puerto Rico, and Vermont residents:**

You may obtain one or more (depending on the state) additional copies of your credit report, free of charge. You must contact each of the credit reporting agencies directly to obtain such additional report(s).

**Security Freeze.** Security freezes, also known as credit freezes, restrict access to your credit file, making it harder for identity thieves to open new accounts in your name. You can freeze and unfreeze your credit file for free. You also can get a free freeze for your children who are under 16. And if you are someone's guardian, conservator or have a valid power of attorney, you can get a free freeze for that person, too.

How will these freezes work? Contact all three of the nationwide credit reporting agencies – Equifax, Experian, and TransUnion. If you request a freeze online or by phone, the agency must place the freeze within one business day. If you request a lift of the freeze, the agency must lift it within one hour. If you make your request by mail, the agency must place or lift the freeze within three business days after it gets your request. You also can lift the freeze temporarily without a fee.

The following information must be included when requesting a security freeze (note that if you are requesting a credit report for your spouse, this information must be provided for him/her as well): (1) full name, with middle initial and any suffixes; (2) Social Security number; (3) date of birth; (4) current address and any previous addresses for the past five years; and (5) any applicable incident report or complaint with a law enforcement agency or the Registry of Motor Vehicles. The request must also include a copy of a government-issued identification card and a copy of a recent utility bill or bank or insurance statement.

It is essential that each copy be legible, display your name and current mailing address, and the date of issue.

**For New Mexico residents:** You may obtain a security freeze on your credit report to protect your privacy and ensure that credit is not granted in your name without your knowledge. You may submit a declaration of removal to remove information placed in your credit report as a result of being a victim of identity theft. You have a right to place a security freeze on your credit report or submit a declaration of removal pursuant to the Fair Credit Reporting and Identity Security Act. For more information, including information about additional rights, go to [www.consumerfinance.gov/learnmore](http://www.consumerfinance.gov/learnmore) or write to: Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20552.

**Fraud Alerts.** A fraud alert tells businesses that check your credit that they should check with you before opening a new account. An initial fraud alert stays on your credit report for one year. You may have an extended alert placed on your credit report if you have already been a victim of identity theft with the appropriate documentary proof. An extended fraud alert stays on your credit report for seven years. To place a fraud alert on your credit reports, contact one of the nationwide credit bureaus. A fraud alert is free. The credit bureau you contact must tell the other two, and all three will place an alert on their versions of your report.

**Federal Trade Commission and State Attorneys General Offices.** If you believe you are the victim of identity theft or have reason to believe your personal information has been misused, you should immediately contact the Federal Trade Commission and/or the Attorney General's office in your home state. You may also contact these agencies for information on how to prevent or avoid identity theft. You may contact the Federal Trade Commission, Consumer Response Center, 600 Pennsylvania Avenue, NW, Washington, DC 20580, [www.ftc.gov/bcp/edu/microsites/idtheft/](http://www.ftc.gov/bcp/edu/microsites/idtheft/), 1-877-IDTHEFT (438-4338).

**For Connecticut Residents:** You may contact and obtain information from your state attorney general at: Connecticut Attorney General's Office, 55 Elm Street, Hartford, CT 06106, 1-860-808-5318, [www.ct.gov/ag](http://www.ct.gov/ag)

**For District of Columbia Residents:** You may contact the Office of the Attorney General for the District of Columbia, 441 4th Street NW, Suite 1100 South, Washington, D.C. 20001, <https://oag.dc.gov>, 202-442-9828.

**For Maryland Residents:** You may contact the Maryland Office of the Attorney General, Consumer Protection Division, 200 St. Paul Place, Baltimore, MD 21202, [www.oag.state.md.us](http://www.oag.state.md.us), 1-888-743-0023.

**For New York Residents:** You may contact the New York Department of State Division of Consumer Protection, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231-0001, 518-474-8583 / 1-800-697-1220, <http://www.dos.ny.gov/consumerprotection>; and New York State Office of the Attorney General, The Capitol, Albany, NY 12224-0341, 1-800-771-7755, <https://ag.ny.gov>.

**For North Carolina residents:** You may contact the North Carolina Office of the Attorney General, Consumer Protection Division, 9001 Mail Service Center, Raleigh, NC 27699-9001, [www.ncdoj.gov](http://www.ncdoj.gov), 1-877-566-7226.

**For Rhode Island Residents:** You may contact the Rhode Island Office of the Attorney General, 150 South Main Street, Providence, RI 02903, <http://www.riag.ri.gov>, 401-274-4400.

**For Texas residents:** You may contact and obtain information from your state attorney general at: Office of the Texas Attorney General [www.texasattorneygeneral.gov/consumer-protection/identity-theft](http://www.texasattorneygeneral.gov/consumer-protection/identity-theft) or contact the Identity Theft Hotline at 800-621-0508 (toll-free)

#### **Reporting of identity theft and obtaining a police report.**

You have the right to obtain any police report filed in the United States in regard to this incident. If you are the victim of fraud or identity theft, you also have the right to file a police report.

**For Iowa residents:** You are advised to report any suspected identity theft to law enforcement or to the Iowa Attorney General.

**For Massachusetts residents:** You have the right to obtain a police report if you are a victim of identity theft. You also have a right to file a police report and obtain a copy of it.



**For Oregon residents:** You are advised to report any suspected identity theft to law enforcement, the Federal Trade Commission, and the Oregon Attorney General.

**For Rhode Island residents:** You have the right to file or obtain a police report regarding this incident. 14 Rhode Island residents were impacted by this incident.